



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al. Art Unit : 2823
Serial No. : 10/024,850 Examiner : William D Coleman
Filed : December 18, 2001 Confirmation No.: 8129
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

MAIL STOP AF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AL	JAPAN 03-203322 – English Abstract: DIALOG(R)File 347:JAPIO (2004); Accession No. 03540422
AM	JAPAN 07-066426 – English Abstract: DIALOG(R)File 347:JAPIO (2004); Accession No. 04773826 and family to U.S. Patent Nos. 5,663,077 (Desig. ID “AA”), 5,966,594 (Desig ID “AB”), 6,210,997 (Desig. ID “AC”), 6,465,284 (Desig. ID “AD”), and U.S. Patent Application Publication No. 2001/0019860 (Desig. ID “AE”)

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated January 25, 2005, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of

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Page : 2 of 2

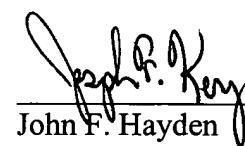
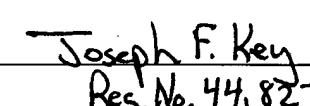
Attorney's Docket No.: 07977-
188002 / US3427/3434D1

this statement. References "AA" to "AE" are English-language documents corresponding to the reference "AM" as noted above, and are cited in accordance with MPEP 609(III)(B)(5).

A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 4, 2005

 
for John F. Hayden Reg. No. 44,827
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REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed November 22, 2004.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. Thus, it is respectfully requested that the examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by February 18, 2005, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: February 4, 2005

John F. Hayden _____
for John F. Hayden _____
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Joseph F. Key _____
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-188002	Application No. 10/024,850
Information Disclosure Statement by Applicant (Use several sheets if necessary) <small>(37 CFR §1.98(b))</small>		Applicant Shunpei Yamazaki et al.		
		Filing Date December 18, 2001	Group Art Unit 2823	

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,932,893	08/03/1999	MIYANAGA et al.			02/03/1998
	AB	6,475,840	11/05/2002	MIYANAGA et al.			04/01/1997
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AL	JP06-349735	22 DEC 1994	JAPAN			Abstract	
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document	
	AQ		
	AR		
	AS		
	AT		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-188002	Application No. 10/024,850
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PTO-1449
PATENT & TRADEMARK OFFICE
37 CFR §1.98(e)(1)

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,663,077	09/02/1997	Adachi et al.			07/26/1994
	AB	5,966,594	10/12/1999	Adachi et al.			12/18/1996
	AC	6,210,997 B1	04/03/2001	Adachi et al.			07/28/1999
	AD	6,465,284 B2	10/15/2002	Adachi et al.			02/09/2001
	AE	2001/0019860 A1	09/06/2001	Adachi et al.			02/09/2001
	AF						
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							Yes	
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	AM	07-066426	03/10/1995	JAPAN			AB	
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Decision of Rejection Issued by Japan Patent Office dated January 25, 2005 w/English translation
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.